



Form 1449*	Atty. Docket No.: 457.003US3	Serial No. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: David R. Tushie et al.	
	Filing Date: Herewith	Group: Unknown

### U. S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
MC	4,772,782	09/20/1988	Nonat, J.	235	380	12/27/85
	4,825,054	04/25/1989	Rust, J.J., et al.	235	380	02/16/88
	4,827,425	05/02/1989	Linden, L.F.	364	478	10/31/86
	4,866,259	09/12/1989	Bonnemoy, M.A.	235	475	08/10/87
	4,874,935	10/17/1989	Younger, T.L.	235	492	10/05/88
	4,882,474	11/21/1989	Anderl, E.C., et al.	235	380	02/05/88
	5,025,399	06/18/1991	Wendt, R.W., et al.	364	519	09/23/88
	5,266,781	11/30/1993	Warwick, D.J., et al.	235	375	08/15/91
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	5,889,941	03/30/1999	Tushie, D.R., et al.	395	186	11/22/96

### FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No

### OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial

Examiner	/Mary Cheung/ (10/03/2006)	Date Considered	10/03/2006
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\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b> <b>Application Number</b> 09/416,252 <b>Filing Date</b> October 12, 1999 <b>First Named Inventor</b> Tushie, David <b>Group Art Unit</b> 3621 <b>Examiner Name</b> Cheung, Mary	
Sheet 1 of 1		Attorney Docket No: 457.003US3	

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MC		"Decision Rejecting the Opposition", <u>European Application No. 97921189.3</u> , (04/19/2006), 15 pgs.	
		"English Translation of the Grounds of Opposition", <u>European Patent No. 97921189.3</u> , (01/26/2004), 7 pgs.	
		"Grounds for Appeal of Decision by the Opposition Division", <u>European Patent No. 97921189.3</u> , (08/16/2006), 9 pgs.	
		"Minutes of Oral Proceedings before the Opposition Division", <u>European Patent No. 97921189.3</u> , (04/19/2006), 13 pgs.	
↓		"Summons to Attend Oral Proceedings Pursuant to Rule 71(1) EPC (includes Preliminary Opinion of the Opposition Division)", <u>European Patent No. 97921189.3</u> , (6/21/2005), 13 pgs.	

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/Mary Cheung/ (10/11/2006)

DATE CONSIDERED

10/11/2006

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Form 1449\*

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

Atty. Docket No.: 457.003US3

Serial No. 70988,252

Applicant: David R. Tushie et al.

TECH CENTER 2700

Filing Date: October 12, 1999

Group: 2787

U. S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
MC	19536548	09/29/1995	Germany	G06F	9/45	Abstract only
	430257	06/05/1991	European	G07F	7/10	X
	778553	06/11/1997	European	G07F	7/10	X
↓	95/22810	08/24/1995	PCT	G07F	7/12	X
	97/39424	10/23/1997	PCT	G06K	19/06	X

OTHER DOCUMENTS

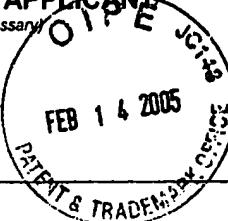
(Including Author, Title, Date, Pertinent Pages, Etc.)

English-language Abstract for DE 195 36 548 A1 cited above.

Examiner	/Mary Cheung/ (10/03/2006)	Date Considered	10/03/2006
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		Attorney Docket No: 457.003US3	
Sheet 1 of 1			

### US PATENT DOCUMENTS

Examiner Initials*	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
MC	JP-1-106365 (English Abstract)	04/24/1989	Hagiwara, Yuji	
MC	JP-5-314322 (English Abstract)	11/26/1993	Aisaka, Hiroshi , et al.	

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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Sheet 1 of 1		Attorney Docket No: 457.003US3	

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Examiner Initials *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
MC	WO-8901413	02/23/1989	Duea, D. R.	

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/Mary Cheung/ (10/03/2006)

DATE CONSIDERED

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